



## APPLICATION FOR REGISTRATION AS A MORTGAGE INTERMEDIARY

**Dear Introducer,**

Thank you for your recent enquiry, and we look forward to receiving your completed application in order that we may register you as a mortgage introducer to the Bank.

Once you are registered we will be pleased to confirm commission terms, which are payable following completion of the loan and are inclusive of VAT or any equivalent tax payable.

Many thanks for contacting us, and we look forward to working with you.

### YOUR CONTACT DETAILS

Contact Name: \_\_\_\_\_

Company Name: \_\_\_\_\_

Trading name (if different from business name): \_\_\_\_\_

Registered Address: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ E-mail: \_\_\_\_\_

Mobile Number: \_\_\_\_\_ Fax: \_\_\_\_\_

### BANK ACCOUNT DETAILS

Account Name: \_\_\_\_\_

Bank Name: \_\_\_\_\_

Bank Address: \_\_\_\_\_

Account Number: \_\_\_\_\_

Sort-Code: \_\_\_\_\_



**NATURE OF YOUR BUSINESS**

From the list below, please tick the appropriate box:-

Estate Agent

IFA

Mortgage Broker

Appointed Representative - Please state company: \_\_\_\_\_

Tied Agent - Please state company: \_\_\_\_\_

Are you FSA regulated? YES / NO

FSA number, if applicable: \_\_\_\_\_

Consumer Credit Licence number: \_\_\_\_\_

**TERMS OF BUSINESS**

Terms of Business between Piraeus Bank S.A. and Mortgage Intermediaries

**REGULATORY AND OTHER REQUIREMENTS**

You will comply in full with all requirements and recommendations of the Financial Services Authority or Office of Fair Trading, any successor body or any applicable regulatory authority, professional body or trade association and without prejudice to the foregoing, carry out your business in accordance with good industry practice, in good faith and so as not to prejudice the reputation of Piraeus Bank S.A. or to cause Piraeus Bank S.A. to breach any legal or regulatory requirement.

You will comply with any recommendations or instructions of which we notify you in carrying out your business activity.

Also, you will not make any representations on our behalf without our specific approval and you will only use our official information.

**MONEY LAUNDERING REGULATIONS**

You are legally obliged to conform with the Money Laundering Regulations 2007 as amended from time to time in respect of verifying your customers' identity.

If you are regulated by the Financial Services Authority, you are required to comply with the Financial Services Authority rules and regulations.

#### PERSONAL DATA

Information that we collect about you will be treated as confidential and will be used:

- a) For considering this and any subsequent applications you may make to us and for administrative purposes.
- b) For statistical purposes.
- c) For keeping you informed about new products, services and about changes in the terms of existing products.
- d) For fraud and money laundering prevention.
- e) In signing these Terms, you agree that we may, at our discretion, carry out searches with Credit Reference Agencies and register information about you with them. This information will then be available to third parties who have access to the Credit Reference Agencies and register of information.
- f) We will not disclose information about you other than when we are legally obliged to do so, where it is our duty to the public to disclose, where our interests require disclosure or where disclosure is made with your consent.
- g) By signing these terms you consent to your information being disclosed to other companies within our group; any agent acting on our behalf; our regulators; our lawyers and auditors and other external advisers; third party providers and anyone to whom we transfer or may transfer our rights and duties under these Terms or any prospective purchaser of us (and in each case to their professional and other advisors). This will be applicable in cases to help resolve a complaint, debt collection and or for the purposes of fraud prevention.
- h) Other than to those individuals and entities described above or in the circumstances described above, information about you will not be disclosed by Piraeus Bank S.A. to any third party.

#### LIABILITY

Piraeus Bank S.A. shall have no liability in respect of any claim by you or your applicant(s) in respect of the provision of any advice, services or products.

Nothing in this Agreement shall constitute, or be deemed to constitute, a partnership, association, joint venture or other co-operative entity.

#### VARIATION OF INTERMEDIARY AGREEMENT

This Agreement contains the entire agreement between the parties in relation to its subject matter and it supersedes and cancels all prior representations, agreements, arrangements and understandings relating to its subject matter between the parties, whether written or oral.

Piraeus Bank S.A reserves the right to vary the terms of this Agreement at anytime. Any changes will be confirmed in writing to you.

No variation shall affect contracts prior to the time of the variation except in so far as required by any subsequent legislation.



## DURATION AND TERMINATION

Piraeus Bank S.A. (or you) may terminate this Agreement at any time.

Termination of this Agreement shall be without prejudice to any rights or obligations that may have accrued prior to such termination.

## DATA PROTECTION

The Parties agree to ensure that they will at all times comply with the provisions and obligations imposed by the Data Protection Act 1998:

Each party shall only hold and process Personal Data after having taken appropriate technical and organisational measures to guard against unauthorised and unlawful processing of the Personal Data and against accidental loss or destruction of, or damage to, the Personal Data.

Each party, shall in all cases, process the Personal Data only as authorised by, and on instructions from, the applicant(s).

Personal Data may not be transferred in any manner to any person not authorised in writing by the applicant(s).

You agree that we may send you marketing and other information until such time as you instruct us in writing not to do so.

## INTELLECTUAL PROPERTY RIGHTS

All Intellectual Property Rights and other rights in any software, document, data, equipment or other materials provided by Piraeus Bank S.A. to you will remain exclusively the property of Piraeus Bank S.A.

## GOVERNING LAW AND JURISDICTION

This Agreement shall be governed by and interpreted in accordance with the laws of England and Wales.



**DECLARATION**

In signing these terms you agree to be bound by them.

You declare that the information provided is true and complete to the best of your knowledge and belief. You will advise us if any of these details change.

<b>SIGNED BY:</b>  <b>Duly authorised to sign for and on behalf of Intermediary:</b>  <b>Date:</b>	
<b>SIGNED BY:</b>  <b>Duly authorised to sign for and on behalf of Piraeus Bank S.A.:</b>  <b>Date:</b>	

**Please retain one copy of this application for your records and return a signed copy to us. Please forward this to the International Mortgages Department. The address is shown below.**